



# Regulatory Defense

A Specialty Service  
from Roanoke

## Prepaid Legal Representation Available Exclusively to Our Clients

With the government's renewed emphasis on enforcement, Customs Brokers, Freight Forwarders and other intermediaries should set their strategic offensive in place.

## What is Regulatory Defense?

Regulatory defense is a legal service exclusively available to Roanoke's Customs Broker, Freight Forwarder and other Intermediary clients through our partnership with Sandler, Travis & Rosenberg, P.A. (STR). This is not insurance.

This prepaid contract provides access to and pays for legal assistance should one of the following potential claims arise from a major government agency or department. With this service, you will have the expert representation needed to dispose of or mitigate the action at a fraction of the cost of hiring counsel directly.



Administrative  
Fines



Liquidated damages  
or penalties claim



License revocation and/or  
suspension proceeding



Letters of investigation initiated  
against you or your company

## How it Works

Upon notification of a claim, investigation, or proceeding against your company, the attorneys will discuss and analyze the case with you and petition the appropriate agency for relief or mitigation on your behalf at no additional cost to you.

## Case Summaries and STR Action

### Antidumping & Countervailing Duties

Customs and Border Protection (CBP) issued a penalty against a customs broker for failing to appropriately classify merchandise and file the entries as type "03" designating them as antidumping. After filing a petition, supplemental petition and offer in compromise, CBP drastically reduced its claim to a few hundred dollars.

### Intellectual Property & Product Admissibility

CBP detained counterfeit sneakers and sent formal requests to the customs broker to confirm whether they had verified the powers of attorney from the importer and to assess the broker's level of contact with their customers.

Because of the broker's responses to the information requests, CBP provided the broker only with a warning along with suggestions on how to validate powers of attorney in the future.



## Regulatory Defense Contract Options

Basic and Expanded contracts are available to address claims from the following agencies and departments.

	Basic Contract	Expanded Contract
Federal Maritime Commission	√	√
Transportation Security Administration	√	√
US Census Bureau	√	√
US Customs and Border Protection including AES	√	√
Alcohol and Tobacco Tax and Trade Bureau (Treasury)		√
Alcohol, Tobacco, Firearms and Explosives Bureau (Justice Department)		√
Consumer Product Safety Commission		√
Environmental Protection Agency		√
Federal Aviation Administration (limited to TSA violations)		√
Federal Communications Commission		√
Fish and Wildlife Service		√
Food and Drug Administration		√
United States Department of Agriculture (including APHIS and FSIS)		√
US Coast Guard as respects SOLAS regulations		√

Contact your service representative today to learn more or to request a quotation.



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Roanoke Insurance Group Inc., is a specialty insurance broker focused on surety bond and insurance solutions for logistics service providers, customs brokers and companies managing supply chains. Founded in 1935, Roanoke was the first provider of customs import bonds as well as the first appointed ATA Carnet provider in the United States. Roanoke has decades of partnership with the trade community as a trusted provider of insurance, surety bonds, ATA Carnet products and specialty services.